

Share Class Information | Plumb Funds

Pricing and Details for Shares of the Plumb Funds

We recognize that investors have different preferences for paying for the advice they receive from a financial advisor when purchasing shares of the Plumb Balanced Fund and the Plumb Equity Fund. Therefore, we offer three share classes for each fund depending on your preferences and investment objectives.

When determining which Plumb Fund share class to own, it's important to consider:

- the expenses related to each funds' share classes
- the amount you plan to invest
- the time period for which you intend to invest
- the objectives of your investment

The charges associated with and other details relating to each share class of the Plumb Balanced Fund and the Plumb Equity Fund are described below.

1. Investor Shares:
 - Do not charge you an up-front sales charge.
 - Charge a Rule 12b-1 Plan shareholder servicing fee up to 0.25% of average daily net assets.
2. Institutional Shares:
 - Do not charge you an up-front sales charge
 - Do not charge you a shareholder servicing fee (such as a Rule 12b-1 Plan fee)
 - May be offered through certain financial intermediaries that charge you transaction or other distribution or service fees with respect to your investments in the funds.
3. Class A Shares:
 - Charge you an up-front sales charge that declines as the amount that you invest increases.
 - Charge a Rule 12b-1 Plan shareholder servicing fee up to 0.25% of average daily net assets.

The sales charge for Class A Shares of a fund is calculated as follows:

When you invest this amount	Sales Charge
Less than \$50,000	5.75%
\$50,000 to \$99,999.99	4.75%
\$100,000-\$249,999.99	3.50%
\$250,000-\$499,999.99	2.50%
\$500,000-\$999,999.99	2.00%
\$1,000,000 and above ⁽¹⁾	0.00%

⁽¹⁾ There is no initial sales charge on a lump sum Class A Share purchase of \$1,000,000 or more, but a contingent deferred sales charge of 1.00% applies on certain redemptions that are made within 18 months following purchases of \$1 million or more.

Reducing Sales Charges on Class A Shares

There are a number of ways you can reduce your sales charge when you purchase Class A Shares. If you qualify for a reduction, you'll need to tell your financial professional (or contact us) at the time of purchase. Otherwise, you may not receive the reduction. The ways you can reduce your sales charge on the purchase of Class A shares are summarized below.

- *Accumulation.* You may combine your current purchase of Class A Shares of a fund with other existing Class A Shares of the fund that you currently own for the purpose of qualifying for the lower initial sales charge rates that apply to larger purchases. You may also aggregate shares that you own and that are currently owned by certain members of your immediate family.
- *Reinstatement.* If you redeem Class A Shares of a Fund, and within 60 days purchase and register new Class A Shares, you will not pay a sales charge on the new purchase amount. The amount eligible for this privilege may not exceed the amount of your redemption proceeds.
- *Letter of Intent.* By signing a Letter of Intent to purchase Class A Shares, you can reduce your Class A Shares sales charge. Your individual purchases will be made at the applicable sales charge based on the amount you intend to invest over a 13-month period. Shares equal to 5.00% of the amount of the Letter of Intent will be held in escrow during the 13-month period. If at the end of that time the total amount of purchases made is less than the amount intended, you will be required to pay the difference between the reduced sales charge and the sales charge applicable to the individual purchases had the Letter of Intent not been in effect. This amount will be obtained from redemption of the escrow shares. Any remaining escrow shares will be released to you.
- *Investments of \$1,000,000 or More.* There is no initial sales charge on a lump sum Class A Share purchase of \$1,000,000 or more, or on any purchase into a Class A Share account with an accumulated value of \$1,000,000 or more. A contingent deferred sales charge of 1.00% applies on certain redemptions made within 18

months following purchases of \$1 million or more made without an initial sales charge.

- *Certain groups or classes of shareholders.* Sales charges for Class A Shares may be waived under certain circumstances for some investors or for certain purchases. The following persons will not be subject to a sales charge on purchases of Class A shares:
 - any affiliate of the Funds' Advisor or any of its or the Funds' officers, directors, trustees, employees or retirees;
 - registered representatives of any broker-dealer authorized to sell Fund shares, subject to the internal policies and procedures of the broker-dealer;
 - members of the immediate family of any of the foregoing (i.e., parent, child, spouse, domestic partner, sibling, step or adopted relationships, grandparent, grandchild and UTMA accounts naming qualifying persons);
 - fee-based registered investment advisers, financial planners, bank trust departments or registered broker-dealers purchasing shares on behalf of their customers;
 - retirement (not including IRA accounts) and deferred compensation plans or the trusts used to fund such plans (including, but not limited to, those defined in Sections 401(a), 401(k), 403(b) and 457 of the Code, and "rabbi trusts"), for which an affiliate of the Funds' Advisor acts as trustee or administrator;
 - 401(a), 401(k), 403(b) or 457 plans, and profit sharing and pension plans that invest \$1,000,000 or more or have more than 100 participants;
 - current shareholders whose aggregate investment in Class A Shares of a Fund exceeds \$1,000,000 subject to the conditions noted above; or
 - an individual on certain accounts under investment programs managed by the Funds' Advisor.

All investing involves risk, including potential loss of principal.

The Funds' investment objectives, risks, charges, and expenses must be considered carefully before investing. The prospectus contains this and other information about the Funds. You may obtain a free hard-copy version by calling 1.866.987.7888 or you may download a prospectus here. Read it carefully before investing.

The Plumb Funds are distributed by Quasar Distributors, LLC.